



**Lancashire  
Constabulary**  
police and communities together

**REPORT TO: Joint Audit Committee**

**DATE: Monday 15 June 2015**

**RESTRICTED - Information relating to this report is restricted as it contains information which if disclosed would inhibit the free and frank exchange of views for the purposes of deliberation and prejudice the effective conduct of public affairs.**

**SUBJECT: Risk Management Arrangements - Constabulary**

## **Background**

The Constabulary has a well-established and embedded process for identifying operational risks, through the National Intelligence Model, daily risk and threat meetings and performance review process.

In summer 2014, Deputy Chief Constable Andy Rhodes requested a review of the Constabulary's risk management processes, seeking to provide similar assurance around the identification of organisational risks.

The review determined that risks were being identified and managed at departmental level but that potentially significant risks to the organisation were not always being formally escalated to chief and senior officer level where appropriate.

As a result, a new risk management procedure was developed, centering on a monthly Risk Management Group chaired by the DCC. Divisional Commanders and Head of Departments across the Constabulary's key business areas feed into the collection process, outlining risks on a simple template designed to capture the salient points and provide a risk assessment. These are reviewed at the Risk Management Group and actions raised as required. The most significant risks are escalated to the Corporate Risk Register and monitored through the Chief Officer Group and management boards; lower risk issues are referred back to senior risk owners for monitoring and ongoing mitigation activity.

The new process has been in place since February 2014 and has proved to be effective in highlighting potentially serious reputational and financial risks to the Constabulary. It has enabled the Constabulary to make informed planning decisions, including allocation and movement of resources to address particular issues.

As a consequence, the Constabulary has improved assurance around the risk governance process.

### **Decision Required**

For Committee to note the update for information

### **Background Papers**

**Appendix A** – Constabulary Governance Framework


**Appendix B** – Risk Management Group Terms of Reference

**Appendix C** – Constabulary Risk Template

**Appendix D** – Constabulary Risk Register

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