



**Lancashire
Constabulary**
police and communities together

REPORT TO: JOINT AUDIT COMMITTEE

DATE: 1st December 2014

REPORT AUTHOR: DCI Stuart Dixon

**SUBJECT: LANCASHIRE CONSTABULARY
INTEGRITY AND STANDARDS UPDATE**

1 Issue for Consideration

National and Local Developments around the 'Ethics' agenda.

2 Information

National

There has been little to report on nationally this quarter. The Code of Ethics were laid before Parliament as a code of practice in July 2014. (Utilising a power granted to the College of Policing under section 39A of the Police Act 1996 (as amended by section 124 of the Anti-Social Behaviour, Crime and Policing Act 2014)).

The full scope of the Code of Ethics, however, extends beyond its statutory basis as a code of practice. All professional bodies have a code of ethics or conduct which lays out the expectations placed on every member of their profession. The Code of Ethics will fulfil this requirement for policing.

The College has supplied forces with supporting materials to assist in embedding the Code of Ethics as the foundation document for promoting, reinforcing and supporting the highest personal and professional standards in policing.

Local

The Constabulary continues to progress work around Integrity and Ethics under its Transparency Action Plan, owned by DCC Rhodes. This plan covers six functional areas of business; People, Wellbeing and Engagement, Strategy, Code of Ethics, Audit and Performance Culture. With each area owned and driven by a member of the Chief Officer team.

This quarter has seen much work by DCC Rhodes in relation to embedding his governance structure across his People Portfolio, including the newly formed Integrity and Standards Board, which met in its new guise this October. New terms of reference are currently being consulted upon for the board, but the main aim will remain to ensure the progression of the Transparency Action Plan.

Within PSD the Risk Meetings and the department's TTCG cycles are now well established and working well. These meetings benefit from the support of two Strategic HR Managers, who bring additionally to the discussions. In order to support any discussions the PSD Analyst, is working on producing a risk matrix from all known corporate data in order to highlighting areas of vulnerability, as well as retain corporate knowledge.

Audit

The Audit Team was formed on 1st April 2014, following an organisational review of the Professional Standards Department. This centralised resources into one unit based within the Intelligence & Anti-Corruption Team (IACT) at PSD. The team, which is overseen by the IACT DI, comprises the Intelligence, Audit & Systems Co-ordinator together with four Audit & Systems Monitoring Officers.

On 23rd July 2014, the Constabulary's inaugural Audit Plan was presented to, and agreed by, the Information Assurance Board (IAB) chaired by Mr Cosh. At this time work began on the first compliance audit which involved the Multi Agency Sharing Hub (MASH), subsequent compliance audits have been undertaken on the Intel2 and Crime2 systems. The results of these three audits have been discussed with Mr Melling, Information Assurance Manager, and circulated to the system owners and key stakeholders prior to discussion at the IAB.

Completed compliance audits are presented by the author to Mr Cosh at the IAB who is able to drive activity accordingly. The progress of such actions is then reviewed at subsequent IAB meetings. This process means that the Constabulary now has a clear process in place to conduct compliance audits, report on the results and make changes as necessary under the internal governance of the IAB.

Decision Required

The Committee is asked to note the update.

Background Papers

None

Report Author

Name: Detective Chief Inspector Stuart Dixon
Organisation: Lancashire Constabulary ☎ 01772 412921